

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 8CL-7194

Anticipated Classification of this application:

Class 528

Subclass \_\_\_\_\_

PENDING APPLICATION Serial Number: 09/313,063  
Examiner: T. Boykin  
Art Unit: 1711

FAX RECEIVED

OCT 16 2001

PETITIONS OFFICE

BOX CPA  
Commissioner of Patents and Trademarks  
Washington, DC 20231

## CONTINUING PROSECUTION APPLICATION (CPA) TRANSMITTAL

- I. This is a request for a filing under the continuing a prosecution application procedure, 37 CFR 1.53(d), for a

  X   continuation  
\_\_\_\_\_ divisional (abandons prior application)

## PARTICULARS OF PENDING APPLICATION

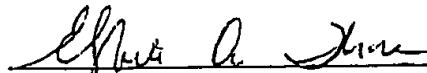
- A. Non-Provisional Application Serial No. 09/313,063 filed on 05/17/99 (which is a date later than June 8, 1995).

Title (as originally filed and as last amended) METHOD FOR QUENCHING OF POLYCARBONATE AND COMPOSITIONS PREPARED THEREBY.

- B. Name of applicant(s) (as originally filed and as last amended) and current correspondence address of applicant(s).

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this CPA transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 9/22/01 in an envelope as "Express Mail Post Office to Addressee" mailing Label Number EL707920651US addressed to the: Assistant Commissioner of Patents, Box CPA, Washington, DC 20231.

  
Elizabeth A. Thorsen

Full Name of Inventor: Raphael Mestanza  
Country of Citizenship: France  
Residence Address: Rue de St. Valery en Caux, F-76460 Saint Riquier es Plains, France  
Post Office Address: Same as above

The above identified application in which no payment of the issue fee, abandonment of (other than where the above identified application was abandoned under 37 CFR 1.313(b)(5) to permit consideration of an information disclosure statement under 37 CFR 1.97), or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

## II. Inventorship statement

- (a)   X   This application discloses and claims only subject matter disclosed in the pending application whose particulars are set out above and the inventor(s) in this application are the same
- X   less than those named in the pending application and it is requested that the following inventor(s) identified above for the pending application be deleted:

### III. Identification of Claims for Further Prosecution

- X   The fees to be charged are to be based on the number of claims remaining as a result of the
- attached preliminary amendment.
- the unentered amendment filed under 37 CFR 1.116 in the pending application.
- Applicant respectfully requests entry of this amendment.
- X   the claims as on file in the pending application.

#### IV. Fee Calculation (37 CFR 1.16)

### CLAIMS FOR FEE CALCULATION

Basic Filing Fee										\$	690
Claims	# Filed			# Extra			Rate				
Total	14	-	20	=	0	X	\$ 18	=	\$ 0		
Independent	2	-	3	=	1	X	\$ 78	=	\$ 0		
Multiple Dependent Claims						X	\$260	=	\$ 0		
TOTAL FILING FEE:										\$	690

**V. Petition for Suspension of Prosecution for the time Necessary to File an Amendment**

— There is provided herewith a Petition to Suspend Prosecution For The  
Time Necessary to File An Amendment (New Application Filed Concurrently).

**VI. Fee Payment Being Made at This Time****Attached**

<input checked="" type="checkbox"/> filing fee	\$ 690
— recording assignment (\$40.00; 37 CFR 1.21(h)). See item XIV below.	\$ —
— petition fee for filing by other than all the inventors or person not the inventor where inventor refused to sign or cannot be reached (\$40.00; 37 CFR 1.47 and 1.17(h))	\$ —
— processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ —
<b>Total fees enclosed</b>	<b>\$ 690</b>

**VIII. Method of Payment of Fees**

— attached is a check in the amount of	\$ —
<input checked="" type="checkbox"/> charge Account No. 07-0862	\$ 690
<input checked="" type="checkbox"/> a duplicate of this request is attached.	

**IX. Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following additional fees which  
may be required by this paper and during the entire pendency of this application to  
Account No. 07-0862

☒ 37 CFR 1.16(a), (f) or (g) (filing fees)

☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

— 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date  
later than the filing date of the application)

☒ 37 CFR 1.17 (application processing fees)

— 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR  
1.1311(b))

**X. Instructions as to Overpayment**

☒ credit Account No. 07-0862.

— refund

**XI. Priority - 35 U.S. C. 119**

- ☐ Priority of Application and Specification No. filed on in is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed on in prior U.S. application Serial No., which prior application was filed on.  
☐ Certified copy will follow

**XII. Assignment**

- ☒ the prior application is assigned of record to **GENERAL ELECTRIC COMPANY**  
☐ an assignment of the invention to \_\_\_\_\_  
is attached. A separate "ASSIGNMENT COVER LETTER ACCOMPANYING NEW PATENT APPLICATION" is also attached.

**XIII. Power of Attorney**

The power of attorney in the prior application is to: Frank A. Smith

- a. ☒ The power appears in the original papers in the prior application.  
b. ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_.  
c. ☐ A new power has been executed and is attached.  
d. ☒ Address all future communications to:

Frank A. Smith  
GE Plastics  
One Plastics Avenue  
Pittsfield, MA 01201

**XIV. Maintenance of Pending Application**

- ☐ A petition, fee and response has been filed to extend the term in the pending application until \_\_\_\_\_.
- ☐ The instant application is being filed during the two month period following a decision by the Board of Appeals and Patent Interferences.

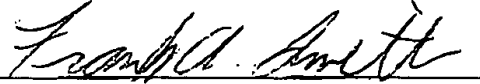
**XV. Conditional Petitions for Extension of Time in Pending Application**

- ☐ A conditional petition for extension of time is being filed in the pending application

**XVI. INFORMATION DISCLOSURE STATEMENT**

  X   Submitted herewith is an Information Disclosure Statement

Respectfully submitted,



Frank A. Smith

Attorney for Applicant

Registration No. 39,375

Telephone No. (413) 448-7604

General Electric Company  
One Plastics Avenue  
Pittsfield, MA 02101

9/22 2000

Assignee: General Electric Company  
New York

Assignment recorded in PTO on : 05/17/99

Reel 009975

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